

07-06-01

A

PATENT  
514413-3886  
(Attorney Docket No.)

**Patent Application Transmittal**  
(only for new nonprovisional applications  
under 37 C.F.R. 1.53(b))  
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U.S. PTO  
09/09/01  
07/05/01

Date: July 5, 2001

TO: ASSISTANT COMMISSIONER FOR PATENTS  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office of an application for patent in the names of:

**Dr. Stefanie Sprunck, et al.**

entitled: **PROMOTERS FOR GENE EXPRESSION IN CARYOPSES OF PLANTS**

\_\_\_\_\_ This is an application of a small entity under 37 CFR 1.9(f) and the amounts shown in parentheses below have been employed in calculating the fee.

\_\_\_\_\_ Small Entity Verified Statement is enclosed.

\_\_\_\_\_ Small Entity Verified Statement filed in prior application, status still proper and desired.

The following are enclosed:

  X   Specification (42 pages)

\_\_\_\_\_ Sheet(s) of Drawings

  X     16   Claims (including 1 independent claim)

\_\_\_\_\_ This application contains multiple dependent claim(s).

\_\_\_\_\_ Preliminary Amendment

  X   Sequence Listing (4 pages)

New U.S. Application filed **July 5, 2001** for application entitled:

**PROMOTERS FOR GENE EXPRESSION IN CARYOPSES OF PLANTS**

corresponding to German Appln. No. 100 32 379.0 filed July 6, 2000 and

German Appln. No. 100 41 861.9 filed August 26, 2000

Express Mail No.: EL742691368US

Date of Deposit: July 5, 2001

I hereby certify that this application and the accompanying papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231.



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T05020-8126666

**INVENTOR**

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(Attorney Docket No.)

  X   Our check totaling \$ 710.00, calculated on the basis of the claims as amended by  
any enclosed preliminary amendment as follows:

Basic Fee	
\$710.00 (355.00) .....	710.00
Total Number of Claims in excess of 20 at \$18.00 (9.00) each .....	0.00
Number of Independent Claims in excess of 3 at \$80.00 (40.00) each .....	0.00
Multiple Dependent Claim Fee at \$270.00 (135.00) .....	0.00
Total Filing Fee .....	710.00
Assignment Recording Fee \$40.00 .....	0.00

  X   Oath or Declaration and Power of Attorney **to follow**

         New               signed               unsigned

         Copy from prior application (37 C.F.R. 1.63(d))

  X   Certified copy of the following application to substantiate the claim for priority  
made in the Declaration:


German application no. 100 32 379.0 filed July 6, 2000 - **to follow**  
German application no. 100 41 861.9 filed August 26, 2000 - **enclosed**

Please charge any additional fees required for the filing of this application or credit any  
overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

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